device, the single device comprising a source of light beam including a package and means for generating the laser light beam housed within the package, the package being provided with a laser light beam emission window;

a diaphragm which selects a central portion of the laser light beam; wherein the package comprises the diaphragm.

36. {ONCE AMENDED} A package for a light beam emission source, comprising:

a first portion which houses means for generating a light beam, the first portion being provided with a first wall wherein there is formed a window which allows emission of the light beam,

a second portion which houses photo-receiving means for detecting a luminous signal diffused by an optical code Illuminated by the light beam generating means, the second portion being provided with a second wall, a window for collecting the luminous signal diffused by the illuminated optical code being formed in the second wall, the second portion being optically separate from the first portion.

#### **REMARKS**

Favorable consideration of the captioned application is respectfully requested.

## A. SUMMARY OF THIS AMENDMENT

By the current amendment, Applicants:

- 1. Advise of the simultaneous submission of a substitute specification which implements various editorial amendments to the specification as depicted on the Appendix submitted with the substitute specification.
- 2. Amend (all) original claims 1-36 essentially for conformity to US practice.
- 3. Provide a new Abstract.

#### B. THE AMENDMENTS TO THE SPECIFICATION

The amendments to the specification implemented by the Substitute Specification are depicted on the Appendix submitted with the substitute specification.

## C. THE AMENDMENTS TO THE CLAIMS

The amendments to original claims are depicted in underline and bracket format in the attached Appendix.

#### D. MISCELLANEOUS

The Commissioner is authorized to charge the undersigned's deposit account #14-1140 in whatever amount is necessary for entry of these papers and the continued pendency of the captioned application.

Should the Examiner feel that an interview with the undersigned would facilitate allowance of this application, the Examiner is encouraged to contact the undersigned.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

H. Warren Burnam, Jr. Reg. No. 29,366

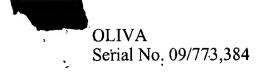
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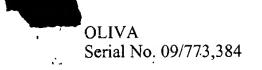
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**ABSTRACT** 

An optical device which emits a laser light beam (3) comprises a laser light beam emission source (20) (for example, a semiconductor laser diode) including means for generating the laser light beam housed in a package (6) provided with a light beam emission window (11). The emission window is shaped so as to be a diaphragm (12) which selects a predetermined portion of the light beam. The size of the window is smaller than the size of the light beam in a transversal cross section taken at the emission window. Moreover, the device comprises a lens (13) for focusing the portion of the light beam. The focusing lens can in turn be housed in the emission window and be itself a diaphragm. In particular, said lens is a Fresnel or diffractive lens.



## VERSION WITH MARKINGS TO SHOW CHANGES MADE

#### IN THE CLAIMS

- 1. {ONCE AMENDED} An optical [Optical] device for emitting a laser light beam, comprising:
- [-] a source of a laser light beam [emission source] including a package and means for generating the laser light beam housed within the [said] package, the package being provided with a laser light beam emission window; [-] a diaphragm which selects [intended to select] a central portion of the laser light beam; [characterised in that said] wherein the package comprises the [said] diaphragm.
- 2. {ONCE AMENDED} The device [Device] according to claim 1, wherein the [emission] source comprises a semiconductor laser diode.
- 3. {ONCE AMENDED} The device [Device] according to claim 1, wherein said diaphragm is directly associated to said package at said laser light beam emission window.
- 4. {ONCE AMENDED} The device [Device] according to claim 3, wherein said diaphragm is directly housed in [into] the laser light beam emission window.
- 5. {ONCE AMENDED} The device [Device] according to claim 1, wherein said laser light beam emission window is shaped [so as] to serve as the [be itself said] diaphragm.
- 6. {ONCE AMENDED} The device [Device] according to claim 1, wherein a [the] size of the laser light beam emission window is smaller than a [the] size of the laser light beam in a transversal cross section taken at said laser light beam emission window.
- 7. {ONCE AMENDED} The device [Device] according to claim 6, wherein the laser light beam emission window defines an aperture having a Fresnel number smaller than 2 along a predetermined reading direction.
- 8. {ONCE AMENDED} The device [Device] according to claim 7, wherein said aperture

has a Fresnel number smaller than 1.2 along said reading direction.

- 9. {ONCE AMENDED} The device [Device] according to claim 7, wherein said aperture has a Fresnel number smaller than 2 along an orthogonal direction with respect to said reading direction.
- 10. {ONCE AMENDED} The device [Device] according to claim 1, further comprising a focusing lens.
- 11. {ONCE AMENDED} The device [Device] according to claim 10, wherein the focusing lens is directly associated to said package at said laser light beam emission window.
- 12. {ONCE AMENDED} The device [Device] according to claim 11, further comprising an adhesive interposed between the focusing lens and the laser light beam emission window.
- 13. {ONCE AMENDED} The device [Device] according to claim 11, wherein the focusing lens is housed in the laser light beam emission window and serves as the [is itself said] diaphragm.
- 14. {ONCE AMENDED} The device [Device] according to claim 13, wherein the focusing lens is one of a Fresnel and a [or] diffractive lens.
- 15. {ONCE AMENDED} The device [Device] according to claim 1, wherein said package exhibits a longitudinal axis Z and wherein the [emission] source is arranged in [into] said package so that the emitted light beam propagates along a substantially perpendicular direction with respect to said longitudinal axis Z.
- 16. {ONCE AMENDED} An optical [Optical] reader, comprising:

  a laser light beam emission device for illuminating an optical code,
  means for generating at least one scan of [on] said optical code,
  photo-detecting means which collects [intended to collect] a luminous signal
  diffused by the illuminated optical code and generates [generate] an electrical signal

proportional thereto, and

processing means <u>for processing</u> [intended to elaborate and process] the electrical signal, [characterised in that said]

wherein the laser light beam emission device [is a device according to any one of the previous claims] comprises:

a source of a laser light beam including a package and means for generating the laser light beam housed within the package; the package being provided with a laser light beam emission window;

a diaphragm which selects a central portion of the laser light beam; wherein the package comprises the diaphragm.

17. {ONCE AMENDED} A [Protective and/or insulating] package for a light beam emission source, the package comprising:

means for generating a light beam housed in a cavity [intended to house means for generating a light beam] of the package, [and]

a wall provided with a window which allows [intended to allow the] emission of the [said] light beam, and [characterised in that it comprises] a diaphragm intended to select a central portion of the light beam.

- 18. {ONCE AMENDED} An optical [Optical] device for emitting/detecting a luminous signal, comprising:
- [-] a <u>source of a light beam [emission source]</u> including a package [,] and means for generating the light beam housed <u>in [into]</u> a first portion of <u>the [said]</u> package, [into said first portion] a light beam emission window being formed <u>in the first portion of the package</u>;
- [-] photo-receiving means [intended to detect] which detects a luminous signal diffused by an optical code illuminated by the [said emission] source, [characterised in that said] the photo-receiving means [is] being housed in [into] a second portion of the [said] package, the second portion of the package being [which is] optically separate with respect to the [said] first portion, the second portion of the package being [and] provided with a window for collecting the luminous signal diffused by the illuminated optical code.
- 19. {ONCE AMENDED} The device [Device] according to claim 18, wherein the

emission source comprises a semiconductor laser diode.

- 20. {ONCE AMENDED} The device [Device] according to claim 18, wherein said emission window and the collecting window [windows] are formed on respective first and second walls of said package, the first and second walls being orthogonally oriented with respect to one another.
- 21. {ONCE AMENDED} The device [Device] according to claim 18, further comprising at least one diaphragm intended to select a central portion of the light beam.
- 22. {ONCE AMENDED} The device [Device] according to claim 21, wherein said diaphragm is directly associated to said package at said light beam emission window.
- 23. {ONCE AMENDED} The device [Device] according to claim 22, wherein said diaphragm is directly housed in [into] the light beam emission window.
- 24. {ONCE AMENDED} The device [Device] according to claim 22, wherein said light beam emission window is shaped to serve [so] as [to be itself] said diaphragm.
- 25. {ONCE AMENDED} The device [Device] according to claim 18, wherein a [the] size of the light beam emission window is smaller than a [the] size of the light beam in a transversal cross section taken at said light beam emission window.
- 26. {ONCE AMENDED} The device [Device] according to claim 25, wherein the emission window defines an aperture having a Fresnel number smaller than 2 along a predetermined reading direction.
- 27. {ONCE AMENDED} The device [Device] according to claim 26, wherein said aperture has a Fresnel number smaller than 1.2 along said reading direction.
- 28. {ONCE AMENDED} The device [Device] according to claim 26, wherein said aperture has a Fresnel number smaller than 2 along an orthogonal direction with respect to said reading direction.

- 29. {ONCE AMENDED} The device [Device] according to claim 18, also comprising a focusing lens.
- 30. {ONCE AMENDED} The device [Device] according to claim 29, wherein the focusing lens is directly associated to said package at said light beam emission window.
- 31. {ONCE AMENDED} The device [Device] according to claim 30, further comprising an adhesive interposed between the focusing lens and the light beam emission window.
- 32. {ONCE AMENDED} The device [Device] according to claim 30, wherein the focusing lens is housed in [into] the light beam emission window and serves as the [is itself] said diaphragm.
- 33. {ONCE AMENDED} The device [Device] according to claim 32, wherein the focusing lens is one of a Fresnel and a [or] diffractive lens.
- 34. {ONCE AMENDED} The device [Device] according to claim 18, further comprising a wall made of an optically opaque material interposed between said first portion of the package and the second portion of the package.
- 35. {ONCE AMENDED} An optical [Optical] reader [,] comprising:
- a light beam emission device which generates a light beam for illuminating an optical code,

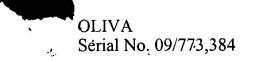
means for generating a scan of the [on said] optical code,

a device for detecting the luminous signal diffused by the illuminated optical code and for generating an electrical signal proportional thereto,

means for [elaborating and] processing [said] the electrical signal,

wherein [characterised in that said] the emission device and [said] the detection device are integrated in a single device [according to claim 18], the single device comprising a source of light beam including a package and means for generating the laser light beam housed within the package, the package being provided with a laser light beam emission window;

a diaphragm which selects a central portion of the laser light beam;



## wherein the package comprises the diaphragm.

36. {ONCE AMENDED} A [Protective and/or insulating] package for a light beam emission source, comprising:

a first [cavity] portion which houses [intended to house] means for generating a light beam, the first portion being [and] provided with a first wall wherein there is formed a window which allows [intended to allow the] emission of the [said] light beam, [characterised in that it comprises]

a second [cavity] portion which houses [intended to house] photo-receiving means for detecting a luminous signal diffused by an optical code illuminated by the [said] light beam generating means [for generating a light beam], the second portion being [and] provided with a second wall, [wherein there is formed] a window for collecting the luminous signal diffused by the illuminated optical code being formed in the second wall, the [said] second [cavity] portion being optically separate from the [said] first [cavity] portion.

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Optical device for emitting a laser light beam, optical reader comprising said device and protective/insulating package for a light beam emission source.

## 5 [DESCRIPTION]

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BACKGROUND

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[0001]Field of the Invention

[0002]The present invention relates to an optical device for emitting a laser light beam, and to an optical reader comprising the [said] device.

[0003]The invention also relates to a protective and/or insulating package for a light beam emission source [; said]. The package is especially adapted to be used in the device and/or in the optical reader of the present invention.

[0004]The invention also relates to an optical device for emitting a light beam and for the simultaneous collection of the light diffused by an illuminated optical code, and to an optical reader comprising such emission/reception device.

[0005]Preferably but not exclusively, the
emission device, the package or the
emission/reception device of the invention
are adapted to be used, in particular, in a
small-size and low-cost optical reader, such
as for example a portable optical code
reader.

[0006]Related Art and Other Considerations

[0007]In the present description and following claims, the term [:] "optical reader" refers to any device capable of acquiring coded information relating to an object (for example distance, volume, size, or its identification data) through the acquisition and the processing of a luminous signal diffused by it. The term [:] "optical code", on the other hand, refers to a code (such as for example, a bar code, a bi-dimensional code or the like) capable of univocally identifying the objects on which it is provided.

[0008]For exemplificative purposes and in order to make the following discussion clearer, in the following description explicit reference shall be made to optical code readers.]

[0009]In its simplest embodiment, an optical code reader comprises a source for the 20 emission of a luminous signal intended to illuminate an object, photo-detecting means intended to collect the luminous signal diffused by the illuminated object and generate an electrical signal proportional 25 thereto, and processing means intended to elaborate and process the generated electrical signal, so as to acquire the desired information. Downstream of the emission source there can be [, moreover,] 30 focusing lens which focuses [intended to focus], at a predetermined distance, the luminous signal emitted by the emission source [can be placed].

35 [00010] As known, particularly [above all]

in small-size and low-cost optical readers, there is the need of using low-priced and small devices for emitting a focused light beam and/or focusing devices. For this purpose, the use or semiconductor laser diodes as emission source [, the use or semiconductor laser diodes] is popular [still widely diffused].

A semiconductor laser diode essentially comprises a chip of 10 semiconductor material (with size typically comprised in the ranges 200-300  $\mu\text{m}$  in depth, 250-300  $\mu\text{m}$  in width and 100-150  $\mu\text{m}$  in height) [which, due] <u>Due</u> to its extreme sensitivity to atmospheric agents and to 15 electrostatic charges, the chip is properly fixed on a special support element and housed into a special package which insulates and/or protects it from the external environment [; the] . The package 20 essentially comprises [consists of] a metal capsule having a substantially cylindrical shape. At a first end thereof, the [said] capsule is provided with a base surface comprising seats intended to receive 25 respective electric connection elements for power-supplying the diode [and at]. At an opposed end, the package is provided with a service surface comprising a glass window to allow the emission of the light beam 30 generated by the diode. The chip support element also functions as heat sink for the diode during operation.

[00012] Typically, the windows of the semiconductor laser diodes are manufactured

in such a way as to allow the exit of the highest possible quantity of luminous energy, thus exploiting all the luminous power of the laser without reducing its efficiency [; thus]. Thus, typically the windows [, they] are of relatively large size.

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Whereas, on the one hand, [However,] [00013] the use of a semiconductor laser diode [, if on the one hand allows to obtain an advantage in terms of overall] advantageously reduces costs and size of the emission and/or focusing device (and thus, of the reader), on the other hand it has a [exhibits the] disadvantage of not allowing 15 a reliable reading of optical codes, even [also] when the codes [they] are situated [arranged at] a short distance from the reader. This is essentially due to the impossibility of generating a light beam 20 which should remain collimated and focused for a predetermined, even small, distance range.

[00014] Therefore, in [the] optical readers
there is the need of producing a focused
laser beam also for small depths of field,
so as to allow a reliable reading of optical
codes arranged even at a short distance[;
this]. This implies the need that the spot
of the laser at the focal point should have
a diameter substantially equal to or
slightly greater (1.5 times) than the size
of the module (that is, of the smallest
element of the code) of optical information
that must be read, and that the spot should

remain such for a predetermined propagation distance.

[00015] A method known in the art for obtaining a sufficient depth of field

5 manipulates [provides for the step of manipulating] the laser beam exiting from the laser diode using [the effects produced by] an aperture (diaphragm) intended to let only a central portion of the emitted laser beam pass.

In fact, it is known that whenever a luminous wave front passes through an aperture of any shape, [but anyway] such as to truncate the wave front, the distribution of the luminous intensity downstream of the 15 same aperture is determined by the diffraction. Such [Said] distribution is called <u>a</u> diffraction pattern and corresponds to the spot of the laser beam at the focal point [; its]. The shape and size of the 20 diffraction pattern depend on the size of the aperture, on the distance from which the pattern is observed, and from the radius of curvature of the incident wave front (if the incident wave front is plane, the radius is 25 infinite).

[00017] The shape of the diffraction pattern depends on a parameter which contains all of the possible variants indicated above [; said]. Such parameter is known as the Fresnel number, and is defined by the following relation:

[00018]  $N=a^2/(\lambda \cdot Z)$ 

[00019] wherein a is the semi-dimension of the aperture in the direction in which the spot diameter is measured,  $\lambda$  is the wavelength, and Z is the effective observation distance. In [(] in the case of a plane wave front, Z is the actual observation distance; in the case of a curved wave front, the effective observation distance differs from the actual observation distance by the radius of curvature of the wave front [)].

In substance, the main effects of the diffraction from an aperture are [both] (1) [that of] increasing the size of the laser beam at the focal point, [and] (2) 15 [that of] maintaining the spot collimated and substantially with the same profile for a greater distance interval, and (3) [finally that of] giving a more suitable shape to the spot for an optional reading of 20 optical codes (in particular, a spot is obtained having an intense and focused central portion). In this way, there is the advantage of increasing the reading field (depth of field). 25

[00021] Often, it is actually desirable to be able to read coded information on optical supports placed into a very wide range of distances [; that]. That is, the reader must have a high reading field (either depth of focus, or depth of field). Such need can occur, for example, in handling plants for distributing and sorting objects identifiable through optical codes, in which the [said] objects can have also very

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different heights from one another. In this case, the laser spot must remain focused for a propagation distance as wide as possible.

A method known in the art for [00022] obtaining a high depth of field manipulates [provides for the step of manipulating] the laser beam exiting from the laser diode, using the combined effects of the focusing device (lens) and of an aperture (diaphragm) which lets [intended to let] only a central 10 portion of the emitted laser beam pass. In substance, it has been experimentally proven that adding up the effects of the focusing produced by the lens and of the diffraction produced by the aperture, it is possible to obtain a focused light beam with particularly high depth of field.

By combining the focusing effect of the laser beam produced by the focusing lens, and that of diffraction due to the 20 interposition of an aperture between the lens and the focal point (or between the emission source and the lens), a spot whose shape is the diffraction pattern corresponding to the aperture, scaled in 25 size by a factor depending on the magnification or reduction operated by the lens, is obtained at the focal point and in its surroundings. This allows [to perform] reliable readings at different and relevant 30 distances.

[00024] Although the introduction of diffraction in a light beam through an aperture produces an increase of the light beam spot size at the focal point and a

reduction of the luminous efficiency of the reader, it is particularly advantageous when on the one hand, an excessive focusing of the spot is to be prevented (for example, so as not to detect the support imperfections) and on the other hand, the reading field of the reader it to be maximized [maximised].

In the prior art there are known [00025] laser readers which take advantage of the combined effect of the focusing and of the diffraction of a laser beam for the purpose of increasing the reading field of the same reader. For example, the European patent application EP 367 299 describes a laser diode scanning device for reading bar codes 15 comprising a semiconductor laser diode associated to a diaphragm and to a focusing lens. In such a device, particular attention must be given in the relative arrangement of the diaphragm and of the focusing lens for 20 the purpose of obtaining the desired focusing and diffraction effects and to allow a reliable reading. In fact, it is necessary to provide a suitable mechanical coupling, support and alignment system 25 between diode, diaphragm and lens [; this]. This unavoidably implies high costs and high assembly times. Moreover, the presence of several individual optical components (diode, diaphragm, focusing lens), each to 30 be arranged in a suitable way with respect to the others, makes an excessive miniaturization [miniaturisation] of the device impossible.

35 [00026] The technical problem at the basis

## [00027] <u>SUMMARY</u>

[00028] Thus, in a first aspect thereof, the 15 present invention relates to an optical device for emitting a laser light beam [, comprising:]. The optical device comprises a laser light beam emission source including a package and means for generating 20 the laser light beam housed into said package [, the]. The package is [being] provided with a laser light beam emission window [;-] and has a diaphragm which selects [intended to select] a central 25 portion of the laser light beam [; characterised in that said package comprises said diaphragm].

[00029] Advantageously, the device of the present invention is provided with a diaphragm intended to introduce diffraction in the laser light beam generated by the emission source, so as to obtain an increase of its depth of field. Even more advantageously, the [said] diaphragm is structurally associated to the protective and/or insulating package of the luminous source, and it is part of <a href="mailto:the">the</a> [said] package [; this]. This allows [to obtain] a

5 significant reduction of the overall dimensions of the emitting device and thus, of the optical reader wherein it is intended to be mounted. Moreover, the device of the invention is particularly simple from the construction point of view, and it provides for the use of widely used optical elements [: this implies], thereby implying a reduction of the production costs.

Thus, according to the present [00030] invention it is possible to generate, 15 directly at the outlet of the package of the emission source, a laser light beam with assigned cross section and sufficient depth of field. Considering the precision with which modern assembly methods [allow to] 20 arrange the light source with respect to the package window, it is possible to obtain a laser light beam at the output of the package, which is already ready to be optionally focused without further need of 25 being truncated downstream of the package. The disadvantage mentioned above with reference to the devices of the prior art associated in particular to the need of taking care of the optical alignment of the 30 diaphragm with the emission window - is thus removed.

[00031] The above advantages are particularly evident when the laser light beam emission source is a semiconductor

laser diode, although it is possible to achieve the same advantages with other type of sources comprising special protective packages provided with a window for the emission of the light beam.

[00032] In a first embodiment of the device of the present invention, the diaphragm is directly associated (e.g., secured) to the package at the laser light beam emission window. More preferably, the diaphragm is directly housed [into] in the laser light beam emission window.

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In a particularly advantageous embodiment of the device of the present invention, the laser light beam emission 15 window is shaped [so as] to serve as [be itself] a diaphragm. Advantageously, [thus,] the emission source is thus implemented with smaller size and shape than that of the laser light beam in a transversal cross 20 section taken at said laser light beam emission window so as to impart assigned shape and size to said beam, concurrently introducing diffraction [into it]. According to the present invention, it is thus 25 sufficient to suitably shape the package window to obtain the desired diffraction effects. In other words, a single optoelectronic element (package) is realised, having an emission diaphragm/window with 30 predetermined shape and size.

[00034] As already <u>mentioned</u> [said], the device of the present invention is preferably intended to be mounted into an optical reader, for example an optical code

reader. The optional optical code reading can be carried out prevalently along a preferential direction or along more directions (omni-directional reading). According to the present invention, for the purpose of allowing a reliable reading of codes prevalently oriented orthogonally to the reading (or scanning) direction, the emission window defines an aperture having a Fresnel number smaller than 2 (preferably, smaller than 1.2) along the reading direction and smaller than 6 in orthogonal direction. Even more preferably, for the purpose of carrying out reliable readings along all directions, independently of the 15 code orientation with respect to the reader, the aperture defines a Fresnel number smaller than 2 along all directions.

[00035] As already <u>mentioned</u> [said], the shape of the diffraction pattern (spot) generated by an aperture is a function of the Fresnel number defined by the following relation:

[00036]  $N=a^2/(\lambda \cdot Z)$ 

25 [00037] where a is the semi-dimension of the aperture in the direction in which the spot diameter is measured, λ is the wavelength, and Z is the effective distance of observation. By suitably selecting the 30 Fresnel number, it is therefore possible to select each time the shape of the spot laser that allows to obtain a reliable reading.

[00038] According to a particularly preferred embodiment thereof, the device of

the present invention further comprises a focusing lens. It is thus possible to obtain all the advantages described above arising from the combination of the effects of the focusing and of the diffraction.

[00039] According to an embodiment of the device of the present invention, the focusing lens is directly associated to the package at said light beam emission window. For the purpose of guaranteeing a greater safety in the stable coupling between focusing lens and laser light beam emission window, the device of the invention preferably further comprises an adhesive between the focusing lens and the emission window.

In a particularly advantageous embodiment of the device of the present invention, the focusing lens is housed in the laser light beam emission window, and is 20 itself the [said] diaphragm. Preferably, the focusing lens is a Fresnel or diffractive lens. In fact, it is advantageously possible to realize [realise] - through optical lithography methods - very small and thin 25 Fresnel or diffractive lenses, which can be produced in plastic through repetition, at an extremely reasonable price. Such lens is itself the window/diaphragm of the package of the laser light beam emission source and allows to obtain all the advantages arising from the combination of the diffraction and focusing effects described above.

[00041] In any case, independently of the chosen type of embodiment, the integration

of the focusing lens in the package of the emission source allows to further reduce the overall size of the optical reader wherein the device of the invention is intended to be mounted. Moreover, the disadvantage mentioned above with reference to the devices of the prior art, in particular that one associated to the need of taking care of the optical alignment of the focusing lens with the emission source and with the diaphragm, is thus eliminated.

In an alternative embodiment of the device of the present invention, the package has a substantially tubular shape with a longitudinal axis Z and wherein the light 15 beam emission source is arranged into the package so that the emitted light beam propagates along a substantially perpendicular direction with respect to the [said] longitudinal axis Z. This is 20 particularly advantageous if, for example, due to requirements of smaller overall dimensions, it is necessary that the light beam emerges at a very low height with respect to a plane for the assembly of the 25 package, or when, due to assembly requirements, the beam must propagate in parallel with respect to the surface on which all opto-electronic components of the emission source are mounted. Such embodiment 30 is advantageously compatible with all the embodiments described above.

[00043] In a particularly preferred embodiment of the device of the invention, the [said] package has [exhibits] a cavity

divided into two optically separate portions, respectively a first cavity portion and a second cavity portion, intended to respectively house said means for generating a light beam, and photoreceiving means for detecting a luminous signal diffused by an optical code illuminated by said means for generating a light beam [, wherein on]. On a first wall of said first cavity portion there is formed 10 the [said] emission window, and on a second wall of the [said] second cavity portion there is formed a window for collecting the light beam diffused by the illuminated optical code [, said]. The first and second 15 walls are [being] orthogonally oriented [orientated] with respect to one another. A single emission/detection device is thus advantageously implemented, which <u>limits</u> [allows to limit] to the utmost the overall 20 dimensions of the reader in which said device is intended to be mounted.

[00044] A package of the type described above allows to take advantage of the typical arrangement of the components in a 25 non-retroreflective scan reader. As known, in fact, in a scan reader of the above type, the laser beam typically impinges at 45° on an oscillating or rotating mirror which generates a scan in orthogonal direction 30 with respect to the laser beam emission direction [; said]. The laser beam then illuminates the optical code, and the light diffused by it is collected by a photodiode, whose surface must face the plane on which the code lies (that is, the [said] surface

is substantially parallel to the code plane), so as to face toward the code the maximum collecting surface.

In a second aspect thereof, the [00045] invention relates to an optical reader comprising a laser light beam emission device for illuminating an optical code, means for generating at least one scan on said optical code, photo-detecting means which collects [intended to collect] a 10 luminous signal diffused by the illuminated optical code and generates [generate] an electrical signal proportional thereto, and processing means which processes [intended to elaborate and process] the electrical 15 signal [, characterised in that said]. In its second aspect, the optical reader has a laser light beam emission device which is of the type described above.

[500046] Such reader is of a small <u>size</u> [sized] and low-priced device, and allows [to obtain] all the advantages mentioned above with reference to the emission device of the present invention.

25 [00047] In a third aspect thereof, the
 invention relates to a protective and/or
 insulating package for a light beam emission
 source [, comprising]. The package has a
 cavity which houses [intended to house]
30 means for generating a light beam and a wall
 provided with a window intended to allow the
 emission of the [said] light beam [,
 characterised in that it comprises]. The
 package has a diaphragm which selects
35 [intended to select] a central portion of

the light beam. Such package is particularly suitable to be mounted into a device and/or a reader of the type described above, thus allowing the achievement of the above mentioned advantages.

[00048] Preferably, <u>the</u> [said] emission source is a semiconductor laser diode.

[00049] In a first embodiment of the package of the invention, said diaphragm is associated to <u>the</u> [said] wall at <u>the</u> [said] light beam emission window. Preferably, the diaphragm is housed in the light beam emission window.

[00050] In the preferred embodiment of the package of the present invention, the [said] emission window is shaped in such way as to serve as the [be itself said] diaphragm.

[00051] According to a particularly preferred embodiment, the package of the invention can further comprise a focusing lens.

[00052] According to a further embodiment of the package of the present invention, the focusing lens is directly associated to the package at the [said] light beam emission window. Preferably, in this case, an adhesive is interposed between the focusing lens and the emission window.

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[00053] Preferably, the focusing lens is housed in the light beam emission window and serves [it is] itself as the [said] diaphragm. Even more preferably, the focusing lens is a Fresnel or diffractive

lens.

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[00054] According to an alternative embodiment, in the package of the present invention a longitudinal axis Z is defined and the [said] means for generating the light beam is intended to be arranged into the [said] cavity so that the emitted light beam propagates along a substantially perpendicular direction with respect to the [said] longitudinal axis Z.

In a particularly preferred embodiment of the package of the invention, the [said] cavity is divided into two optically separate portions, respectively first and second portion, intended to 15 respectively house the [said] means for generating a light beam, and photo-receiving means for detecting a luminous signal diffused by an optical code illuminated by the [said] means for generating a light beam 20 [, wherein on] . On a first wall of the [said] first cavity portion there is formed the [said] emission window, and on a second wall of the [said] second cavity portion there is formed a window for collecting the 25 light beam diffused by the illuminated optical code [, said] . The first wall and the second wall are [being] orthogonally oriented with respect to one another.

[00056] Each of the above embodiments of the package of the invention provides [allows to obtain] the same <u>advantages</u> [advantage] mentioned above with reference to the corresponding embodiment of the emission device described above.

[00057] In a fourth aspect thereof, the present invention relates to an optical device for emitting/detecting a luminous signal [, comprising: -]. The optical device comprises a light beam emission source including a package, and means for generating the light beam housed in [into] a first portion of said package wherein there is formed a light beam emission window[; -]. The optical device further comprises 10 photo-receiving means which detects [intended to detect] a luminous signal diffused by an optical code illuminated by the [said] emission source [; characterised in that said] . The photo-receiving means is 15 housed into a second portion of the [said] package which is optically separate with respect to the [said] first portion [, and]. The second portion is provided with a window for collecting the luminous signal diffused 20 by the illuminated optical code.

[00058] It is thus possible to <u>realize</u>
[realise] a single emission/detection device which <u>limits</u> [allows to limit] to the utmost the overall dimensions of the reader in which <u>the</u> [said] device is intended to be mounted. As already mentioned before with reference to the emission device described above, the emission/detection device of the present invention [allows to take] <u>takes</u> advantage of the typical arrangement of the components in a non-retroreflective scan reader.

[00059] Preferably, the emission source comprises a semiconductor laser diode.

[00060] Even more preferably, the [said] emission and collecting windows are formed on respective first and second walls of the [said] package, orthogonally oriented with respect to one another, so as to prevent part of the emitted light from directly reaching the photo-receiving means.

[00061] In the preferred embodiment thereof, the device of the invention further comprises at least one diaphragm which selects [intended to select] a central portion of the light beam.

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[00062] In a first embodiment of the device of the invention, the [said] diaphragm is directly associated to the [said] package at the [said] light beam emission window. Preferably, the [said] diaphragm is housed directly into the light beam emission window.

20 [00063] In the preferred embodiment of the device of the invention, the [said] light beam emission window is shaped so as to serve [be] itself as the diaphragm. Preferably, the light beam emission window is smaller than the light beam in a transversal cross section taken at the [said] laser light beam emission window.

[00064] Preferably, the emission window defines an aperture having a Fresnel number smaller than 2 (more preferably, smaller than 1.2) along a predetermined reading direction, and smaller than 2 along an orthogonal direction with respect to said reading direction.

[00065] According to a preferred embodiment thereof, the device of the invention also comprises a focusing lens. The [Said] focusing lens can be directly associated to the [said] package at the [said] light beam emission window (in this case, preferably, the device would also comprise an adhesive interposed between the focusing lens and the light beam emission window) or, preferably, it can be housed in the light beam emission window and be itself the [said] diaphragm (in this case, preferably, it would be a Fresnel or diffractive lens).

[00066] Each of the two embodiments of the

emission/detection device of the invention
obtains [allows to obtain] the same
advantages [advantage] mentioned above with
reference to the corresponding embodiment of
the laser light beam emission device
described above.

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[00068] In a fifth aspect thereof, the present invention relates to an optical reader comprising a light beam emission device for illuminating an optical code, means for generating a scan on an optical code, a device for detecting the luminous signal diffused by the illuminated optical code and for generating an electrical signal

proportional thereto, <u>and</u> means for elaborating and processing <u>the</u> [said] electrical signal [,]. <u>The optical reader</u> <u>is</u> characterised in that <u>the</u> [said] emission and detection devices consist of a single emission/detection device of the type described above.

[00069] In particular, it is a non-retroreflective scan reader having, advantageously, small size and a low price [; such]. Such a reader allows [to obtain] all the advantages mentioned above with reference to the emission and/or emission/detection device of the present invention.

In a sixth aspect thereof, the present invention relates to a protective and/or insulating package for a light beam emission source, comprising a first cavity portion which houses [intended to house] 20 means for generating a light beam. The package is provided with a first wall wherein there is formed a window which allows [intended to allow the] emission of the [said] light beam [, characterised in 25 that it] . The package comprises a second cavity portion which houses [intended to house] photo-receiving means for detecting a luminous signal diffused by an optical code illuminated by the [said] means for 30 generating a light beam [, and]. The package is provided with a second wall wherein there is formed a window for collecting the luminous signal diffused by the illuminated optical code [, said]. The 35

second cavity portion <u>is</u> [being] optically separate with respect to <u>the</u> [said] first cavity portion. Such package is particularly suitable to be mounted into an emission/detection device and/or optical reader of the type described above, thus [allowing to achieve] <u>achieving</u> all the advantages mentioned above.

[00071] Preferably, <u>the</u> [said] package comprises all the structural and/or functional features mentioned above with reference to the emission/detection device of the present invention.

# [00072] BRIEF DESCRIPTION OF THE DRAWINGS

- 15 [00073] Further features and advantages of the present invention will appear more clearly from the following detailed description of some preferred embodiments, made with reference to the attached 20 drawings. In <u>the</u> [said] drawings,
  - <u>Fig.</u> [Figure] 1 shows a perspective schematic and sectioned view of a conventional light beam emission source (in particular, it is a conventional semiconductor laser diode);

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- Fig. [Figure] 2 shows a perspective, schematic and sectioned view of a light beam emission source according to the present invention (in particular, it is a semiconductor laser diode modified according
- to the present invention);
  <u>Fig.</u> [Figure] 3 shows a schematic
  sectioned view of an emission device of a laser light beam according to the present invention, including the optical element of

Fig. [Figure] 2;

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- <u>Fig.</u> [Figure] 4 shows a schematic sectioned view of an alternative embodiment of the device of <u>Fig.</u> [Figure] 3;
- 5 <u>Fig.</u> [Figure] 5 schematically shows various types of diaphragms usable, respectively in the optical element of <u>Fig.</u> [Figure] 2 and/or in the device of <u>Fig.</u> [Figure] 3;
- 10 <u>Fig.</u> [Figure] 6 shows a perspective, schematic and sectioned view of an emission/detection device according to the present invention;
- Fig. [Figure] 7 shows a front,
   perspective, schematic and sectioned view of a portion of the device of Fig. [Figure] 6;
   Fig. [Figure] 8 shows a rear, perspective, schematic and sectioned view of the portion of Fig. [Figure] 7.

# [00074] DETAILED DESCRIPTION

[00075] In Fig. [Figures] 3, and Fig. 4, reference numeral 1 refers to an optical device for the emission of a laser light beam, according to the present invention.

25 Device 1 is intended to be used in an optical reader of small size and moderate price (for example, a portable reader of optical codes) for the purpose of increasing the depth of field of the light beam, so as to allow the reading of information placed at different distances (also small) with respect to the same reader.

[00076] As in the devices of the prior art, illustrated (for example) in Fig. 1, device [Device] 1 comprises an emission source 20

[2], for example a semiconductor laser diode, intended to emit a light beam [3], for example a laser beam. The laser [Laser] beam [3 exiting] exits from diode 20 [2], and can have elliptical cross section, as in the case of an edge-emitting laser, or a circular cross section, as in the case of a VCSEL laser (vertical cavity Surface Emitting Laser).

10 [00077] In the following description, explicit reference shall be made to a semiconductor laser diode as emission source of a laser light beam [;]. However [however], the person [man] skilled in the art shall understand that what said is similarly applicable to different kinds of emission sources, comprising in any case a protective package provided with a light beam emission window.

20 [00078] For the purpose of clearly describing [making the description of] the device of the present invention and clarifying the differences thereof relative to [with] the devices of the prior art [clearer], reference shall now be [first] made to Fig. [Figure] 1, which shows a semiconductor laser diode 2 of the conventional type [(PRIOR ART)].

[00079] Typically, a conventional laser
diode 2 essentially comprises a chip 4 of
semiconductor material fastened on a special
support element 5 and housed into a cavity
defined into a special metal package (or
capsule) 6 for protection and/insulation
from the external environment[, having].

The package 6 illustrated in Fig. 1 has a substantially tubular shape [and] wherein there is defined a longitudinal axis Z. At a first end thereof, the package 6 comprises a base surface 7 on which there are provided elements 8 (commonly indicated with the term [of] "rheophores" or "pins") of electrical connection for feeding the diode 2 and a monitor photodiode 9. At an opposed end thereof, the package 6 comprises a service surface or wall 10 wherein there is formed a glass window 11 intended to allow the output of the light beam 3 generated by chip 4.

[00080] In conventional focusing devices
and/or optical readers, downstream of the
laser diode 2 there are generally provided a
diaphragm [,] (intended to select a central
portion of the light beam 3) [,] and a
focusing lens (intended to focus the light
beam 3 at a predetermined reading distance).

[00081] [Now, reference] Reference shall now be made to Fig. [Figure] 2, which shows a modified semiconductor laser diode 20, which is an example of an optical element for emitting a light beam implemented according to the present invention. [Said optical] Optical element 20 is in turn intended to be mounted in the laser light beam emission device 1 [1a] according to the present invention in the manner shown [(shows as a whole] in Fig. [Figures] 3 Fig. and Fig. 4 [)].

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[00082] From <u>Fig.</u> [Figure] 2 it can be seen that the laser diode 20 of the present invention is similar to the conventional

laser diode 2 described above and illustrated in <a href="Fig.">Fig.</a> [Figure] 1, except at the service surface 10 of package 6. Thus, the structural elements of the laser diode 20 of the present invention that are identical to those described above with reference to the laser diode 2 of the prior art are indicated with the same reference <a href="mailto:numeral">numerals</a>. [numeral, whereas] <a href="By contrast">By contrast</a>, the different and/or modified structural elements are indicated with a different reference numeral.

[00083] According to a first preferred embodiment of the device of the present invention, shown in Fig. [Figures] 2 and Fig. 3, the window [11] for the emission of the light beam 3 is shaped so as to be itself a diaphragm 12. In particular, the size and shape of window 12 [11] are smaller than the size of the light beam 3 in a 20 transversal cross section taken at the window itself, so as to impart an assigned size and shape to the [said] beam 3, concurrently introducing diffraction [into it]. Thus, diaphragm 12 is an integral part 25 of the laser diode 20. [; more in particular] More particularly, diaphragm 12 is integral part of package 6, forming with the latter a single optical element wherein a single window/diaphragm component 12 is 30 realised.

[00084] Preferably, the window/diaphragm 12 defines in package 6 an aperture having a Fresnel number smaller than 2 (more preferably, smaller than 1.2) along the

reading direction, and <u>a Fresnel number</u> smaller than 6 (more preferably, smaller than 2) in orthogonal direction. In particular, for the purpose of carrying out reliable readings along all directions, independently of the orientation of the code with respect to the reader, the aperture most preferably defines a Fresnel number smaller than 2 along all directions.

In an alternative, unillustrated, 10 [00085] and less preferred embodiment [(less preferred)] of the device and/or of the optical element of the present invention, [not shown,] instead of consisting of the emission window, the diaphragm [12] is 15 directly associated (e.g., directly secured and essentially contacting) to the package [6] at the window [11] for the emission of the light beam [3] (for example through the interposition of an adhesive), so as to 20 define as a whole an aperture with a desired shape and size [; in]. In a second alternative embodiment (also not shown), diaphragm 12 is directly housed in [into] the window 11. 25

[00086] Independently of the specific embodiment of the device and/or of the optical element of the present invention, the shape of diaphragm 12 can be chosen on the basis of the specific use provided for the device and/or reader in which said device and/or optical elements is intended to be mounted. For example, as already mentioned, the reading of optical codes can be of the omni-directional type, or it can

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occur prevalently along a preferential direction. In the first case (omnidirectional type), it is advantageous to use a diaphragm of symmetrical shape. Examples of symmetrical shapes are [(] circular or square or rhomboidal, or one of these shapes differently bevelled as illustrated by the row of shapes [- see to] shown in Fig. [Figure] 5(a) [); on]. On the other hand, in the second case (along a preferential direction) it is advantageous to use an elongated diaphragm in the orthogonal direction with respect to the direction [that] of reading. Examples of elongated shapes include [(] elliptical or rectangular 15 or rhomboidal shapes or one of these shapes differently bevelled as illustrated by the row of shapes [- see to] shown in Fig. [Figure] 5(b) [)].

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[00087] Device 1 (and/or the optical element 20) of the invention further comprises a focusing lens 13 which serves to focus [of] the truncated beam portion 3 (see [to] Fig. [Figure] 3). Lens 13 is generally made of a plastic material transparent to the luminous radiation [; however,]. However, any other material optically transparent and printable or shapeable in the desired shape can be used for lens 13.

[00088] Lens 13 can be associated to and aligned with package 6 of the laser diode 20 according to any one of the modes described in the European patent application no. 99830677.3 by the same Applicant, and shown

in <u>Fig.</u> [Figures] 1, <u>Fig.</u> 2, and <u>Fig.</u> 3 of the same application, whose description is herein incorporated by reference. According to <u>such</u> [said] modes, the lens 13 is arranged almost in contact with the window/diaphragm 12.

[00089] In an alternative embodiment of the device of the present invention, the focusing lens 13 is directly associated to the package 6 at the window/diaphragm 12. In this case, the device comprises an adhesive interposed between the window/diaphragm 12 and the lens 13, for the purpose of firmly associating the lens to package 6 of the laser diode 2.

In a particularly preferred embodiment of device 1 and/or of the optical element 20 of the present invention, the focusing lens 13 is an integral part of the [said] package 6 and constitutes the [said] 20 window [11] for the emission of the light beam 3. Moreover, its shape and size are such as to also constitute [also] the [said] diaphragm [12], thus forming a window/diaphragm/lens [(11,12,13)] (see, in 25 particular, window/diaphragm/lens 13 shown in Fig. [Figure] 4). In particular, the focusing lens 13 is a Fresnel or diffractive lens (manufactured by diffractive technology). 30

[00091] In a further alternative embodiment of the device of the present invention [,] shown in <u>Fig.</u> [Figure] 4, the laser diode 2 is arranged into the package 6 so that the emitted light beam 3 propagates along a

direction x that is substantially perpendicular to the longitudinal axis Z of package 6. Also in the [said] embodiment of Fig. 4, the diaphragm [12] can be associated to the package 6 at the emission window [11], or housed into it, or [,] (preferably) [,] the diaphragm [it] can comprise [consist of said] the emission window [11] suitably sized and shaped, as described above. The same applies also for the focusing lens 13, 10 i.e., the focusing lens 13 [: it] can be associated to the package 6 at the window/diaphragm [12], or housed into the window/diaphragm [12] and act itself as diaphragm, as described above. 15

Device 1 (and/or the optical element 20) of the present invention is particularly suitable for being mounted within an optical reader intended to acquire identification data of an illuminated object (not shown). 20 Such a [Said] reader also comprises means intended to generate a scan on the optical code to be read, photo-detecting means intended to collect a luminous signal diffused by the illuminated optical code and 25 to generate an electrical signal proportional thereto, and processing means intended to elaborate and process the electrical signal. In the specific case of an optical code reader, the processing means 30 comprises an analogue/digital converter and a decoder.

[00093] During operation, with particular reference to <u>Fig.</u> [Figure] 3, chip 4 of the laser diode 20 generates a laser beam

(unillustrated in Fig. 3) [3] which is suitably truncated by window/diaphragm 12 and then focused by the focusing lens 13 on a desired reading area (wherein, for example, an optical code to be identified is present). On the other hand, with reference to Fig. [Figure] 4, chip 4 of the laser diode 20 generates a laser beam (unillustrated in Fig. 4) [3] which is suitably stopped and focused by the 10 window/diaphragm/lens [11,12,] 13 on a desired reading area (wherein, for example, an optical code to be identified is present). When device 1 is used in an optical reader, the luminous signal diffused by the illuminated optical code is detected by the photo-detecting means arranged in the same reader, and afterwards it is processed to acquire the desired information. In the specific case of an optical code reader, the 20 luminous signal is detected as analogue electrical signal, converted into digital and then decoded.

Reference shall now be made to Fig. [00094] [Figures] 6, Fig. 7, and Fig. 8, which show 25 a non-retroreflective scan optical reader 100 comprising an optical emission/detection device 101 of a luminous signal in accordance with the present invention. From the structural point of view, said device 30 101 is substantially equal to the conventional emission source 2 shown in Fig. [Figure] 1 (or, in some of its alternative embodiments, to the emission device shown in Fig. [Figure] 2, Fig. 3, or Fig. 4), with 35 the exception of [comprising a] package 60.

In the device 101, the package 60 (shown in more detail in Fig. 7) has [having] a substantially parallelepipedal shape. [and that the] The cavity of package 60 is divided into two portions 60a and 60b optically separated by an intermediate wall 102 made of an optically opaque material.

[00095] Portion 60a of package 60 houses the means for generating the light beam described above with reference to Fig. [Figure] 1. Portion 60b houses a photodiode 103 for collecting and detecting the luminous beam diffused by an optical code 104 illuminated by the above means for generating the light beam 3 (see Fig. 6).

[00096] Portion 60b is provided with a window 105 for collecting the light beam diffused by the optical code 104. [Said window] Window 105 is formed on a wall 106 substantially orthogonal to wall 107 on which the window 11 for the emission of the light beam 3 is formed (in other words, wall 107 is substantially parallel to the code plane 104).

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25 [00097] The light beam 3 generated in the device 101 impinges at 45° on a rotating or oscillating mirror 140 of a mirror polygonal rotor 150 [,]. The rotor 150 [which] generates a scan in a substantially orthogonal direction with respect to the emission direction of light beam 3 . [; the beam] Beam 3 thus deviated impinges on the optical code 104, and the light diffused thereby (by optical code 104) is collected by the photodiode 103. Therefore, the

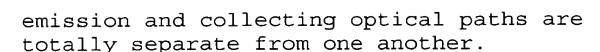


Fig. [Figures] 7 and Fig. 8 show in detail the internal structure of this device. Package 60, made of a plastic, metal or ceramic material, essentially comprises [consists of] three layers (however, there could also be only two layers [of them] [: the]. The first layer [one], referred to with reference numeral 110, is the base 10 layer wherein some tracks 111 for connecting the photodiode contacts 112 are formed. Onto [said] base 110 there are mounted the monitor photodiode 8, the chip 4 of the laser diode, and the reception photodiode 15 103. The chip 4 of the laser diode [(which] can be of the side emission type, SEL, or vertical emission, VCSEL [)] and is mounted on a support 5 made of a thermally conductive material (see Fig. 7) [, and the 20 reception photodiode 103]. Then, on the first layer 110 a second layer 120 is mounted, wherein the window 11 is formed (see Fig. 8). [obtained (optionally] Optionally, the [said] window 11 may act as 25 diaphragm 12 or as diaphragm/lens 13, as described above [)]. The [Said] layer 120 concurrently serves as spacer between the layer 110 and a third layer 130. In the third layer 130 [, wherein] the window 105 30 (formed of transparent material) for collecting the light beam diffused by the illuminated optical code 104 is formed [obtained]. The reception photodiode 103 is thus in a slightly rear position with 35 respect to the gathering window 105, and



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therefore it is partly screened with respect to the light coming from different areas than that illuminated by the emission beam (ambient light), thus improving the signalnoise ratio at the output from photodiode 103.

[00099] The intermediate wall 102 is made of an optically opaque material to prevent the reception photodiode 103 from being even slightly impinged by the light beam 3 emitted by the laser diode [; this]. Such impingement would cause undesired noise in [on] the output signal. Thus, the optical emission path is optically insulated from the optical reception path.

[00100] All the remarks made above with reference to the different embodiments of device 1 of the present invention are totally applicable to device 101 described above (with particular reference to the presence of a diaphragm and/or of a focusing lens, which may be or may be not integrated in the package 60).